

# HEALTH AND RETIREMENT STUDY

## Restricted Data

### 2017 Spring Life History Mail Survey (LHMS) Educational History Data

#### Data Description

Version 1, December 2021

**To the Restricted Data Investigator:** This restricted data set is intended for exclusive use by you and the persons specified in the Agreement for Use of Restricted Data from the Health and Retirement Study and/or the Supplemental Agreement with Research Staff for Use of Restricted Data from the Health and Retirement Study. If there are any questions about this data set and its use, refer to the restricted data pages on the HRS Web site (<https://hrs.isr.umich.edu/data-products/restricted-data>). You can also contact the HRS RDA Help Desk via electronic mail (<mailto:hrsdaapplication@umich.edu>).

This document may not be reproduced without the written consent of the staff of the Health and Retirement Study, the Institute for Social Research, the University of Michigan.

## Conditions of Use

By registering for access to HRS Public Release data, the User agrees to all of the following:

- Make no attempts to identify study participants.
- Not to transfer HRS Public Release data to any third party other than staff or students for whom you are directly responsible except as indicated below.
- Not to allow others to use your username and password to access this site.
- To certify the destruction of any downloaded Public Release data file as well as any data files derived from the downloaded file when requested to do so by the Health and Retirement Study.
- To include the following citation in any research reports, papers, or publications based on Public Release data:

*In text:*

"The HRS (Health and Retirement Study) is sponsored by the National Institute on Aging (grant number NIA U01AG009740) and is conducted by the University of Michigan."

*In references:*

"Health and Retirement Study, ([insert Product Name]) public use dataset. Produced and distributed by the University of Michigan with funding from the National Institute on Aging (grant number NIA U01AG009740). Ann Arbor, MI, (year)."

- To include the following citation in any research reports, papers, or publications based on any Public Release data file tagged as "Early" or "Preliminary":

"This analysis uses Early Release data from the Health and Retirement Study, ([insert Product Name]), sponsored by the National Institute on Aging (grant number NIA U01AG009740) and conducted by the University of Michigan. These data have not been cleaned and may contain errors that will be corrected in the Final Public Release version of the dataset."

- Please note that although it is necessary to include the above citations to the HRS in any publications, it is not necessary to associate your publications with the HRS grant in [PubMed](#).
- Provide information regarding any research product (dissertation, thesis, journal article, book, book chapter, report, etc.) based on data obtained from the Health and Retirement Study by sending an electronic copy to [hrrpublications@umich.edu](mailto:hrrpublications@umich.edu).
- Report immediately to the Health and Retirement Study at [hrrquestions@umich.edu](mailto:hrrquestions@umich.edu) any disclosure of study participant identity as well as any discovery of flaws or errors in the data or documentation files.

Notify the Health and Retirement Study through use of the update function provided at this site or by electronic mail directed to [hrrquestions@umich.edu](mailto:hrrquestions@umich.edu) of changes in your electronic mail address, postal address, telephone number, organizational affiliation or organizational status.

## Table of Contents

|   |   |
|---|---|
| <b>1. Introduction</b> .....  | 3 |
| <b>2. LHMS Sample Design</b> .....                                  | 3 |
| <b>3. About the LHMS Spring 2017 Educational History Data</b> ..... | 4 |
| 3a. Variable Names and Labels .....                                 | 4 |
| 3b. Data Quality.....   | 5 |
| <b>4. File Structure</b> .....                                      | 6 |
| <b>5. Distribution Files</b> .....                                  | 6 |
| <b>6. Program Statements</b> .....                                  | 7 |
| 6a. Using the SAS Program Statements .....                          | 7 |
| 6b. Using the SPSS Program Statements.....                          | 7 |
| 6c. Using the Stata Program Statements .....                        | 7 |
| <b>7. Linking Respondents across Time</b> .....                     | 8 |
| <b>8. Registration and Downloading the Data</b> .....               | 8 |
| 8a. Registration .....  | 8 |
| 8b. Conditions of Use .....   | 8 |
| 8c. Publications Based on Data .....                                | 8 |
| <b>9. If You Need to Know More</b> .....                            | 9 |
| 9a. HRS Internet Site .....   | 9 |
| 9b. Contact Information.....  | 9 |

## 1. Introduction

The Life History Mail Survey 2017 Spring (LHMS 2017 Spring) contains questions about residential, education, employment and health history, and other important childhood and family events.

The LHMS is part of the Health and Retirement Study (HRS), which is funded under a cooperative agreement between the National Institute on Aging (NIA) and the Survey Research Center at the University of Michigan. The HRS is designed to study labor force, health, and family transitions of the U.S. population aged 51 and older, and the impact of those transitions on economic resources, claims on structured programs such as Social Security, Medicare, and Medicaid, and informal assistance and transfers to and from family members.

The National Institute on Aging (NIA) provided funding (R01 AG051142) for the LHMS 2017 Spring, which was conducted by the Survey Research Center (SRC), at the Institute for Social Research (ISR), at the University of Michigan.

By receiving the dataset, you agree to use it for research and statistical purposes only and make no effort to identify respondents. In addition, you agree to send us a copy of publications you produce based on the data. See Registration and Downloading the Data (at the end of this document) for additional details.

The questions in the LHMS are based on several similar studies around the world, including: the English Longitudinal Study of Aging (ELSA, England), the Survey of Health, Ageing and Retirement in Europe (SHARELIFE), and the China Health and Retirement Longitudinal Study (CHARLS).

## 2. LHMS Sample Design

The LHMS 2015 and 2017 data were initially released as separate public data files (see links below). Data collection occurred in different waves for pragmatic reasons.

- In Fall 2015, in order to take quick advantage of new funding, a short LHMS survey covering only residential and education histories was mailed to eligible English-speaking panel members who had not been assigned to a different mail survey, the HRS Consumption and Activity Mail Survey (CAMS). (Fall 2015: <https://hrsdata.isr.umich.edu/data-products/2015-life-history-mail-survey>). The restricted city and state information for the education histories collected from these respondents in 2015 is provided in a separate file.
- In 2017, data collection was split into separate waves to accommodate the steady-state CAMS longitudinal survey collection that occurs in the fall of each off-year. The Spring 2017 LHMS was sent to mid Baby Boomers (MBB) and older cohorts in CAMS. (Spring 2017: <https://hrsdata.isr.umich.edu/data-products/2017-spring-life-history-mail-survey>). The restricted city and state information for education histories collected from the Spring 2017 respondents is provided in a separate file.
- In Fall 2017, HRS panel members who are not in CAMS received the LHMS in the fall of 2017 (MBB and older cohorts). Those participants who had responded to the 2015 LHMS received a shortened (supplemental) version of the LHMS in 2017 which excluded questions about residential and education histories that were included in the 2015 survey.

All others including new spouses/partners and Spanish-speaking respondents who were excluded in Fall 2015, received the full LHMS. (Fall 2017: <https://hrsdata.isr.umich.edu/data-products/2017-fall-life-history-mail-survey>).

The [Cross-wave 2015-2017 Life History Mail Survey \(LHMS\): Harmonized and Aggregated Public Data Resource](#) consolidates public data for participants' responses from the Fall and Spring waves in these two years.

The field period for the LHMS 2017 Spring was June 2017 through December 2017. Questionnaires were mailed to a subsample of HRS respondents (n= 5,174). The data file contains multiple records (n=10,819) for each respondent or proxy who filled out and returned a 2017 Spring LHMS questionnaire.

### 3. About the LHMS Spring 2017 Educational History Data

#### 3a. Variable Names and Labels

Variable names begin with the letters LH to designate the Life History Mail Survey, followed by \_17SPRING to indicate the wave in which the data were collected. The variables available in the data file are listed in the table below.

| Variable Name       | Description  |
|---------------------|--|
| HHID                | HOUSEHOLD IDENTIFICATION NUMBER                                  |
| PN                  | RESPONDENT PERSON IDENTIFICATION NUMBER                          |
| LH22_ORDER_17SPRING | ROW NUMBER OF DATA ENTERED BY R ON PAGE 12 & 13 OF QUESTIONNAIRE |
| LH22_A_17SPRING     | SCHOOL START GRADE   |
| LH22_B_17SPRING     | SCHOOL END GRADE   |
| LH22_CITY_17SPRING  | SCHOOL CITY  |
| LH22_STATE_17SPRING | SCHOOL STATE   |
| LH22_D_17SPRING     | SCHOOL START AGE   |
| LH22_E_17SPRING     | SCHOOL END AGE   |
| LH22_F_17SPRING     | SCHOOL PUBLIC OR PRIVATE   |
| LH22_G_17SPRING     | SCHOOL RACE ETHNICITY  |
| VERSION             | VERSION  |

Unlike LHMS 2017 Spring public data, these restricted records are stacked. Use the values in the variable LH22\_ORDER\_17SPRING to determine which row of Question 22 (see example below) the respondent recorded values.

### Your Educational History

The next section asks you for some details about your school and educational experiences before age 50.

**Q22** In the table below, please list all of the names of the **PRIMARY, ELEMENTARY, MIDDLE, JUNIOR HIGH, AND HIGH SCHOOLS** that you attended. Write "0" as the Grade for Kindergarten. Use one line for each school. If you attended the same school from Kindergarten to Grade 12, complete only the top line (#1).

TABLE COLUMNS SPAN ACROSS BOTH PAGES. ▶

| # | Start Grade | End Grade | Name of School | City/Town | State (or Country) |
|---|-------------|-----------|----------------|-----------|--------------------|
| 1 |             |           |                |           |                    |
| 2 | 02          | 04        |                |           |                    |
| 3 | 05          | 06        |                |           |                    |
| 4 | 07          | 08        |                |           |                    |
| 5 | 09          | 12        |                |           |                    |

### 3b. Data Quality

Due to the skip patterns in this questionnaire, all missing answers are treated the same in the dataset and coded as simply "Answer not given/Missing." This is true whether the respondent was "expected" to answer the question or not, based on earlier responses.

While cleaning the data, when extreme, unlikely or conflicting values were encountered, electronic images of the questionnaires were checked to determine if errors were introduced during the data entry/scanning process and to possibly correct those values. If the respondent reported the extreme or conflicting value, the value is coded as missing.

During the data cleaning process extra empty rows were removed and, where possible, rows were collapsed. For example, we collapsed rows in cases where the respondent used a different row for each grade but repeated the same school name, city, and state. For this reason, the data will not always align perfectly with the public data. School names are not released to ensure that individual respondents can't be identified.

For the LH22 series of questions, respondents were instructed to write the start grade, end grade, start age and end age for each school they attended from Kindergarten through high school. Instances where a start year is greater than an end year or a start age is greater than an end age were carefully checked and corrected where appropriate.

In the LH22 series, a number of respondents provided information for post high school education or training (rather than writing those in the LH35 series). In these cases, if the "School Name" field in LH22 clearly indicated a post-high school institution, the response was moved to LH35; otherwise it was left in LH22.

## 4. File Structure

The HRS 2017 Spring LHMS Educational History Data (Version 1) data are at the respondent level. Respondent-level files contain questions that were asked of all respondents about themselves (or were answered by a proxy for the respondent if the respondent was not able to fill out the questionnaire). The data file contains multiple records (n=10,819) for each respondent or proxy who filled out and returned a 2017 Spring LHMS questionnaire.

The data file is called LHMS17Spring\_EduHistory, translated as: Life History Mail Survey 2017 Spring Educational History.

The primary Identification variables (IDs) are:

HHID HOUSEHOLD IDENTIFIER  
PN PERSON NUMBER

Records in the data files are sorted in order by the identifying variables from the HRS core study, HHID (household ID) and PN (person number). The variable PN refers to the respondent to whom the questionnaire was mailed. Identification variables in the file are stored in character format. For further information about HRS identification variables and merging data, see the [Data Description for the HRS 2002 Core](#).

## 5. Distribution Files

The following extensions are used for the six different types of distribution files:

.DA for data files,  
.SAS for SAS program statements and .sas7bdat for SAS “ready-to-use” files,  
.SPS for SPSS program statements and .sav for SPSS “ready-to-use” files,  
.DO for Stata DO statements and .dta for Stata “ready-to-use” files,  
.DCT for Stata dictionary statements, and  
.TXT for codebook files.

For example,

LHMS17SPRING\_EDUHISTORY.DA contains ASCII data  
LHMS17SPRING\_EDUHISTORY.SAS contains corresponding SAS program statements,  
LHMS17SPRING\_EDUHISTORY.SAS7BDAT contains SAS data in “ready-to-use” format,  
LHMS17SPRING\_EDUHISTORY.SPS contains corresponding SPSS program statements,  
LHMS17SPRING\_EDUHISTORY.SAV contains SPSS data in “ready-to-use” format,  
LHMS17SPRING\_EDUHISTORY.DO contains corresponding Stata DO statements,  
LHMS17SPRING\_EDUHISTORY.DTA contains Stata data in “ready-to-use” format,  
LHMS17SPRING\_EDUHISTORY.DCT contains corresponding Stata dictionary statements, and  
LHMS17SPRING\_EDUHISTORY.TXT contains the ASCII codebook

The 2017 Spring LHMS Educational History (Version 1) data are provided as SAS (.sas7bdat), SPSS (.sav) and Stata (.dta) "ready-to-use" files and in ASCII format with fixed-length records. Use the associated SAS, SPSS or Stata program statements to read the data into the analysis package of your choice or use the available "ready-to-use" files. In addition, you may also download the codebook file (LHMS17SPRING\_EduHistory.TXT) and the data description (this document).

## 6. Program Statements

### 6a. Using the SAS Program Statements

To create a SAS system file for a particular dataset, two file types must be present for that dataset -- .SAS program statement files and .DA data files.

To create a SAS system file, load the \*.SAS file into the SAS Program Editor.

If the \*.SAS file is located in "c:\lhms2017spring\sas" and the data file is located in "c:\lhms17spring\data", you can run the file as is. A SAS system file (\*.SD2 or \*.SAS7BDAT) will be saved to directory "c:\lhms2017spring\sas".

If the files are not located in the specified directories, you will need to edit the \*.SAS file to reflect the proper path names prior to running the file.

### 6b. Using the SPSS Program Statements

To create an SPSS system file for a particular dataset, two file types must be present for that dataset -- .SPS program statement files and .DA data files.

To create an SPSS system file, open the \*.SPS file in SPSS as an SPSS Syntax File.

If the \*.SPS file is located in "c:\lhms2017spring\spss" and the data file is located in "c:\lhms2017spring\data", you can run the file as is. An SPSS system file (\*.SAV) will be saved to directory "c:\lhms2017spring\spss".

If the files are not located in the specified directories, you will need to edit the \*.SPS file to reflect the proper path names prior to running the file.

### 6c. Using the Stata Program Statements

To use Stata with a particular dataset, the following three file types must be present for that dataset -- .DCT files, .DO files, and .DA data files.

Files with the suffix .DA contain the raw data for Stata to read. Files with the suffix .DCT are Stata dictionaries used by Stata to describe the data. Files with the suffix .DO are short Stata programs ("do files") which you may use to read in the data. Load the .DO file into Stata and then submit it.



If the \*.DO and \*.DCT files are located in "c:\lhms2017spring\stata" and the data file is located in "c:\lhms2017spring\data", you can run the .DO file as is.

If the files are not located in these directories, you must edit the \*.DO and \*.DCT files to reflect the proper path names before you run the files.

Note that the variable names provided in the .DCT files are uppercase. If you prefer lower case variable names, you may wish to convert the .DCT files to lower case prior to use. You may do this by reading the .DCT file into a text or word processing program and changing the case. For instance in Microsoft Word, Edit, Select All, Format, Change Case, lowercase.

## **7. Linking Respondents across Time**

Respondent records in the 2017 Spring LHMS Educational History (Version 1) can be linked to respondent records from 2016 and prior waves by HHID and PN. The core sub-household identifiers can be used to link household data with the cross-sectional respondent level data.

## **8. Registration and Downloading the Data**

### **8a. Registration**

HRS data are available for free to researchers and analysts at the HRS Web site. In order to obtain public release data, you must first register at our Web site. Once you have completed the registration process, your username and password will be sent to you via e-mail. Your username and password are required to download data files.

Registered users receive user support, information related to errors in the data, future releases, workshops, and publication lists. The information you provide will not be used for any commercial use, and will not be redistributed to third parties.

### **8b. Conditions of Use**

By registering, you agree to the [Conditions of Use](#) governing access to Health and Retirement public release data.

### **8c. Publications Based on Data**

As part of the data registration process, you agree to include specified citations and to inform HRS of any papers, publications, or presentations based on HRS data. Please send a copy of any publications you produce based on HRS data, with a bibliographical reference, if appropriate, to the address below.

Health and Retirement Study  
Attn: Papers and Publications

The Institute for Social Research  
P.O. Box 1248  
Ann Arbor, MI (USA) 48106-1248

Alternately, you may contact us by e-mail at [hrsquestions@umich.edu](mailto:hrsquestions@umich.edu) with "Attn: Papers and Publications" in the subject line.

## **9. If You Need to Know More**

This document is intended to serve as a brief overview and to provide guidelines to using the 2017 Spring LHMS Educational History data. Additional information about the HRS can be obtained from the HRS Web site. If you have questions or concerns that are not adequately covered here or on our Web site, please contact us. We will do our best to provide answers.

### **9a. HRS Internet Site**

Health and Retirement Study public release data and additional information about the study are available on the Internet. To access the data and other relevant information, point your Web browser to the HRS Web site.

<http://hrsonline.isr.umich.edu>

### **9b. Contact Information**

If you need to contact us, you may do so by one of the methods listed below.

Internet: Help Desk at our Web site

E-mail: [hrsquestions@umich.edu](mailto:hrsquestions@umich.edu)

Mail:  
Health and Retirement Study  
The Institute for Social Research  
The University of Michigan  
P.O. Box 1248  
Ann Arbor, MI 48106-1248

FAX: (734) 647-1186